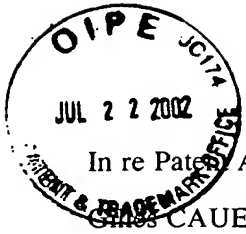


PCT  
22 JUL 2002

## Patent

Attorney's Docket No. 017753-160

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Gilles CAUET *et al.*

Application No.: 10/089,803

International Filing Date: 4 October 2000  
(Corresponds to PCT/FR00/02753)

For: **METHOD FOR PREPARING  
STEROIDS MODIFIED BY YEAST  
FERMENTATION**

Group Art Unit: Unassigned

Examiner: Unassigned

Confirmation No.: 2729

## **INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

**BURNS, DOANE, SWECKER & MATHIS, L.L.P.**

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Alexandria, Virginia 22313-1404  
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By:

Teresa Stanek Rea  
Registration No. 30,427

**Date: July 22, 2002**



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited .

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore under 37 C.F.R. § 1.97(b) no fee or statement is required.

To assist the Examiner, the documents listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWICKER & MATHIS, L.L.P.

By: \_\_\_\_\_

Teresa Spanek Rea  
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Date: July 22, 2002

Substitute for form 1449A/PTO

ATTORNEY'S DKT  
017753-160

APPLICATION No.  
10/089,803

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT  
Gilles CAUET et al.

INT'L. FILING DATE  
October 4, 2000

GROUP	UNASSIGNED
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JUL 22 2002

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
u	WO 97/37664		PCT	10/16/1997		
ø	WO 99/40203		PCT	08/12/1999		X
ı	WO 96/12810		PCT	05/2/1996		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
•	K.A. Rose et al., <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 94, pp. 4925-4930, May 1997		
•	K.A. Koshcheyenko et al., <i>Enzyme and Microbial. Technology</i> , Vol. 5, No. 1, pp. 14-21, 1983		
•	O.P. Ward et al., <i>Enzyme and Microbial. Technology</i> , Vol. 12, No. 7, pp. 482-493, 1990		
•	M. Iwasaki et al., <i>Biochem Journal</i> , Vol. 291, No. 2, pp. 569-573, 1993		
•	K. Nagata et al., <i>Journal of Biological Chemistry</i> , Vol. 262, No. 6, pp. 2787-2793, 1987		
•	K. Athenstaedt et al., <i>Journal of Biological Chemistry</i> , Vol. 275, No. 1, pp. 235-240, 2000		
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.